



IBM Algo FIRST Data Add-on OpenPages Services Directory Integrator Version supports data incorporation into IBM OpenPages Operational Risk Management

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Overview

IBM^(R) Algo Risk^(R) Content on Cloud enhancements

IBM Algo FIRST^(R) is designed to enrich your operational risk program by providing:

- Relevant external data in an analysis-rich, case study format
- Over 24 years of case studies targeted to financial industry
- On-demand access to the cases on the cloud
- Powerful search engine that enables users to search and save cases for review
- Email notices on relevant case studies based on user preferences

IBM Algo FIRST Data add-ons are designed to enrich your operational risk program by providing:

- Capability to incorporate Algo FIRST data into users' operational risk software platform
- Option to select from three formats:
 - Algo FIRST Data Add-on XML Version allows data incorporation into many operational risk solutions.
 - Algo FIRST Data Add-on FastMap Version allows data incorporation into IBM OpenPages^(R) Operational Risk Management for use in scenario analysis, risks, and loss events.
 - Algo FIRST Data Add-on OpenPages Services Directory Integrator Version enables data incorporation into IBM OpenPages Operational Risk Management for use in scenario analysis, risks, and loss events.

IBM Algo FIRST Data Add-on OpenPages Services Directory Integrator Version is a new Algo Risk Content on Cloud that delivers daily updates of the entire IBM Algo FIRST database in a format compatible with the IBM OpenPages GRC Platform V7.2.

Key prerequisites

IBM Algo FIRST with internet access.

Planned availability date

September 20, 2016

Description

Algo Risk Content on Cloud

Algo FIRST contains over 14,500 detailed real-life case studies that are based on 24 years of external, risk loss events.

Each case study contains in-depth, index-rich analysis that highlights control breakdowns and management responses to help organizations prevent losses before they occur. By applying the lessons learned, Algo FIRST helps organizations minimize their risk exposure and enhance internal controls.

The Algo FIRST database is updated daily by a team of multilingual researchers, who source public data such as court filings, regulatory documents, industry journals, and other established news sources. Case studies contain careful and detailed analysis that delve deep into control and process failures, and provide hierarchical keyword indexes to help users quickly search for similar case studies. There are 13 hierarchical indexes, which include Basel event type, Basel business line, event triggers, loss impacts, and product and service type.

The rules and regulations hierarchy, with information from the database, helps organizations better understand the outcomes of industry regulatory violations.

The types of recent financial services events in Algo FIRST include insider trading, frauds, natural disasters, regulatory violations, governance issues, liquidity risk, and transactional and business risk. Algo FIRST is a valuable resource for financial services organizations, providing at-a-glance charts and graphs, free text searching, in-depth case study, and essential content for scenario analysis and self-assessment programs.

Algo FIRST Data Add-on FastMap Version

The Algo FIRST Data Add-on FastMap Version provides daily updates of the entire Algo FIRST database in a format compatible with OpenPages GRC Platform - Operational Risk Management. OpenPages GRC Platform users can use the Algo FIRST Data Add-on FastMap Version to provide end users with access to Algo FIRST case studies within the OpenPages application. After the data is loaded into IBM OpenPages, end users can browse and associate Algo FIRST case studies to OpenPages GRC objects such as scenario analysis, risks, and loss events.

Algo FIRST Data Add-on OpenPages Services Directory Integrator Version

The Algo FIRST Data Add-on OpenPages Services Directory Integrator Version provides daily updates of the entire Algo FIRST database in a format compatible with OpenPages GRC Platform - Operational Risk Management. OpenPages GRC Platform users can use the Algo FIRST Data Add-on OpenPages Services Directory Integrator Version to provide end users with access to Algo FIRST case studies within the OpenPages application. After the data is loaded into OpenPages using the Service Directory Integrator tool, end users can browse and associate Algo FIRST case studies to OpenPages GRC objects such as scenario analysis, risks, and loss events.

Note: The Algo FIRST data add-ons are compatible with specific versions of the OpenPages GRC Platform Operational Risk Management module. Consult the IBM support representative for compatibility details.

Solution packaging - Building business solutions with the Algo Risk Content on Cloud components

Algo FIRST is the cloud-based, external-event database, with options for selecting the content product appropriate for user needs. Featuring web-based new and updated events on demand, Algo FIRST components include the base system offering, and Authorized User. To get access to Algo FIRST, you must order the base system component and as many Authorized User seats as needed for your user community. IBM Algo FIRST components are a prerequisite for using the following Data Add-ons:

- **Algo FIRST Data Add-on XML Version** is a product to supplement Algo FIRST. The XML version of the FIRST database allows for system integration to many operational risk software solutions. The add-on is an XML file used by institutions to integrate with other legacy, operational risk systems. If you want to take advantage of the add-on, you must subscribe to Algo FIRST.
- **Algo FIRST Data Add-on FastMap Version** is a product to supplement Algo FIRST. The FastMap version of the FIRST database allows for system integration with OpenPages. The add-on is a FastMap file used by institutions to integrate with OpenPages. If you want to take advantage of the add-on, you must subscribe to Algo FIRST.
- **Algo FIRST Data Add-on OpenPages Services Directory Integrator Version** is a product to supplement Algo FIRST. The Services Directory Integrator version of the FIRST database allows for system integration with OpenPages. The add-on is a comma delimited file used by the Service Directory Integrator tool by institutions to integrate with OpenPages. If you want to take advantage of the add-on, you must subscribe to Algo FIRST.

Algo^(R) OpData is a stand-alone database in .xls format delivered twice annually for organizations that require operational risk quantitative events. This biannual quantitative database is used to provide tail events for Operational Risk Modeling. Each event contains the necessary descriptors and categorizations (such as Basel hierarchies) to supplement and enhance operational risk models for the purpose of introducing tail events into their model.

Reference information

For information on IBM OpenPages GRC Platform V7.2, refer to Software Announcement [AP15-0334](#), dated December 8, 2015.

Program number

| Program number | VRM | Program name |
|----------------|------|--------------------------------|
| 5725-H59 | SaaS | IBM Algo Risk Content on Cloud |

Offering Information

Product information is available on the [IBM Offering Information](#) website.

More information is also available on the [Passport Advantage^{\(R\)}](#) and [Passport Advantage Express^{\(R\)}](#) website.

Publications

Product documentation will be available as part of the account setup.

Technical information

Specified operating environment

Software requirements

IBM Algo FIRST

Workstation with browser (one of the following):

- Microsoft™ Internet Explorer 9, or later
- Mozilla Firefox 45, or later

Recommended minimum screen resolution of 1024 x 768.

The program's specifications and specified operating environment information may be found in documentation accompanying the program, if available, such as a readme file, or other information published by IBM, such as an announcement letter. Documentation and other program content may be supplied only in the English language.

Planning information

Packaging

Not applicable.

Ordering information

This product is only available through Passport Advantage. It is not available as shrinkwrap.

Product Group: Algo

Product: IBM Algo Risk Content on Cloud 5725-H59

Product Category: Customer solutions

Passport Advantage

IBM Algo Risk Content on Cloud (5725-H59)

| Part number/Description | Part number |
|---|-------------|
| IBM Algo FIRST Authorized User per Month | D0UR8LL |
| IBM Algo FIRST Access per Month | D106DLL |
| IBM Algo FIRST Data Add-on XML Version Access per month | D106GLL |
| IBM Algo FIRST Data Add-on with FastMap Version Access per Month | D106ILL |
| IBM Algo OpData Access per Month | D106KLL |
| IBM Algo FIRST Data Add-on OpenPages Services Directory Integrator Version Access per Month | D1Q27LL |

Charge metric

| Program name | PID number | Charge metric |
|--------------------------------|------------|-----------------|
| IBM Algo Risk Content on Cloud | 5725-H59 | Authorized User |

Authorized User

Authorized User is a unit of measure by which the program can be licensed. An Authorized User is a unique person who is given access to the program. The program may be installed on any number of computers or servers and each Authorized User may have simultaneous access to any number of instances of the program at one time. Licensee must obtain separate, dedicated entitlements for each Authorized User given access to the program in any manner directly or indirectly (for example, through a multiplexing program, device, or application).

server) through any means. An entitlement for an Authorized User is unique to that Authorized User and may not be shared, nor may it be reassigned other than for the permanent transfer of the Authorized User entitlement to another person.

Note: Some programs may be licensed where devices are considered users. In that case, the following applies. Any computing device that requests the execution of or receives for execution a set of commands, procedures, or applications from the program or that is otherwise managed by the program is considered a separate user of the program and requires an entitlement as if that device were a person.

Access

Access is a unit of measure by which the IBM SaaS can be obtained. An Access is the rights to use the IBM SaaS. Client must obtain a single Access entitlement in order to use the IBM SaaS during the measurement period specified in Client's Proof of Entitlement (PoE) or Transaction Document.

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Refer to additional technical support information in the IBM Software as a Service Terms of Use document for the program.

Terms of Use

The program's *Terms of Use and CSA Service Description* document is available on the [Software as a Service terms](#) website.

Limited warranty

If warranted, refer to the warranty as stated in the Terms of Use document or the Cloud Services Agreement for this offering.

Money-back guarantee

No

Volume orders (IVO)

No

Passport Advantage applies

Yes, information is available on the [Passport Advantage and Passport Advantage Express](#) website.

Software Subscription and Support applies

No

System i Software Maintenance applies

No

Variable charges apply

No

Educational allowance available

Not applicable.

Statement of good security practices

IT system security involves protecting systems and information through prevention, detection, and response to improper access from within and outside your enterprise. Improper access can result in information being altered, destroyed, or misappropriated or can result in misuse of your systems to attack others. Without a comprehensive approach to security, no IT system or product should be considered completely secure and no single product or security measure can be completely effective in preventing improper access. IBM systems and products are designed to be part of a lawful, comprehensive security approach, which will necessarily involve additional operational procedures, and may require other systems, products, or services to be most effective.

Important: IBM does not warrant that any systems, products, or services are immune from, or will make your enterprise immune from, the malicious or illegal conduct of any party.

Prices

Business Partner information

If you are an IBM Business Partner -- Distributor for Workstation Software acquiring products from IBM, you may link to Passport Advantage Online for resellers where you can obtain Business Partner pricing information. An IBM ID and password are required to access the [IBM PartnerWorld^{\(R\)}](#) website.

For all local charges, contact your IBM representative.

Passport Advantage

For Passport Advantage information and charges, contact your IBM representative. Additional information is also available on the [Passport Advantage and Passport Advantage Express](#) website.

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AP distribution

| Country/Region | Announced |
|----------------------------|-----------|
| AP IOT | |
| ASEAN * | Yes |
| India/South Asia ** | Yes |
| Australia | Yes |
| Hong Kong | No |
| Macao SAR of the PRC | No |
| Mongolia | Yes |
| New Zealand | Yes |
| People's Republic of China | No |
| South Korea | Yes |
| Taiwan | Yes |
| Japan IOT | |
| Japan | Yes |

* Brunei Darussalam, Cambodia, Indonesia, Lao People's Democratic Republic, Malaysia, Myanmar, Philippines, Singapore, Thailand, Timor-Leste, and Vietnam

** Bangladesh, Bhutan, India, Maldives, Nepal, and Sri Lanka

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